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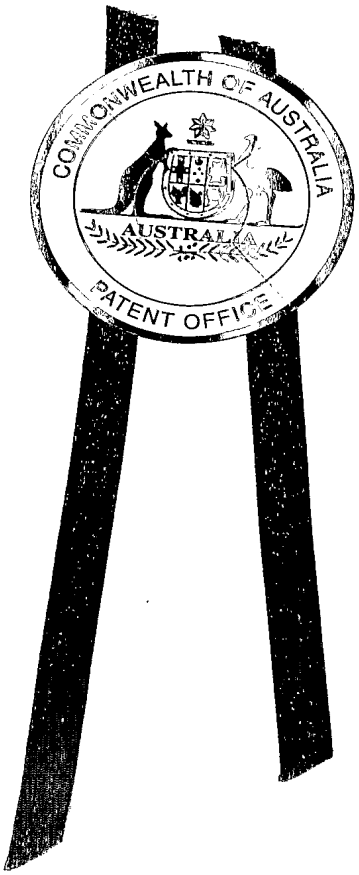

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SUPPORT AND SALES

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PROVISIONAL SPECIFICATION

Invention Title: **DIGITAL LICENSE SHARING SYSTEM AND METHOD**

The invention is described in the following statement:

DIGITAL LICENSE SHARING SYSTEM AND METHOD

FIELD OF THE INVENTION

The present invention relates to digital rights management, and in particular to a system and method for sharing a single digital license amongst multiple devices.

BACKGROUND OF THE INVENTION

Today many service providers sell their digital content, such as digital music, images, video, books and games, over computer networks. To protect commercial digital intellectual property and avoid digital piracy, Digital Rights Management (DRM) systems are needed that can be used to prevent unauthorized access to digital content and manage content usage rights. The core concept in DRM is the use of digital licenses. A license is a digital data file that contains content decryption keys and content usage rules.

In DRM, rather than buying content directly, users purchase licenses that grant certain rights to the content. Usage rules specify how the content should be used, such as copy permission, pay-per-view, a one-week rental, and so on. A license can be described using a rights expressing language, such as eXtensible rights Markup Language (XrML) that was selected by the Moving Picture Expert Group (MPEG) for the MPEG-21 Multimedia Framework. Some use scenarios for the usage rules are described in the XrML specification document, *eXtensible rights Markup Language (XrML) 2.0 Specification*, ContentGuard, 20 November, 2001. However, the specification does not specify mechanisms to support these scenarios.

In current DRM implementations, encrypted content can be distributed using any communication medium, such as through a client/server system, super-distribution, digital audio/video broadcasting, or CDs, but without possession of a valid license, the content cannot be decrypted. The protected content can thus be distributed independently of any license. More specifically, when the user attempts to consume the protected content, the player device will check if there exists a valid license for the content on the user's device. If the player cannot find the license, it will refuse to grant access to the content, and prompt the user to contact the license server to acquire a valid license. After the user provides information and/or payment that may be necessary to obtain the license, the

license will be delivered to the user's device, and the protected content can be decrypted and used according to the usage terms and conditions in the license.

In order to prevent digital piracy via transfer of rights, most existing DRM solutions bind licenses to a particular device. The license cannot be transferred and used on another device. For example, if a user wants to watch a purchased movie at an alternative location, or listen to music on a portable device, the user must acquire a new license for each device, which is inconvenient for the user.

The License Management Service (LMS), as disclosed for example in *Backing Up and Restoring of DRM Licenses*, Microsoft Corporation, 2000-2003 uses a centralized server to manage the restoration of licenses in DRM. This service allows the user to transfer licenses to a new computer or back to the same computer after reformatting the hard disk, for example. The user must be connected to the Internet when the user tries to restore licences and a request from the application will be sent to the server.

Under LMS, the user is only allowed to restore a license to a limited number of computers. Each time a license is restored, the server tracks the number of computers to which a license has been restored. If a limit is reached, the user cannot restore the license. Microsoft does not publish the technical details of this service, however it is apparent that LMS does not provide a satisfactory solution to the problem of sharing a license among multiple devices while ensuring that only one device can use the license at a time.

The document *Copy Prevention Scheme for Rights Trading Infrastructure*, by Masayuki Terada and Hiroshi Kuno and Masayuki Hanadate and Ko Fujimura, NTT Laboratories, 2000, describes a generic copy prevention scheme for trading digital rights called FlexiToken. In this scheme, a digital right is represented using two types of information: the rights description object and the token object. The token object represents the "genuineness" of the rights object and is stored and circulated using tamper-proof devices such as smart cards. The rights object can be held in any storage medium, but to redeem the rights, the user must present the token of the rights to the service provider.

The security of this scheme depends on the assumption that secret keys are managed securely and that the tamper-proof capability of smart cards is not

compromised. Thus, a digital right can be protected against alteration, forgery and reproduction.

To prevent repudiation of rights transfer, FlexiToken assumes that neither participant flees from the other, i.e. the sender deletes the token from the original card after receiving the receipt from the receiver. However, this assumption may be violated if the operation of this procedure is interrupted either intentionally or accidentally. For example, a dishonest user may terminate the transaction after transferring the rights token from one card to another without deleting the original one.

FlexiToken cannot be directly applied to DRM, because a digital license in DRM contains content keys, which need to be stored in a protected form. However, a rights object in FlexiToken does not contain content keys.

An alternative scheme, the Extensible Cluster Protocol (xCP), is described in the IBM corporate document, *IBM Response to DVB-CPT Call for Proposals for Content Protection & Copy Management: xCP Cluster Protocol*, 2001. In xCP, digital content is cryptographically bound to a network of devices in a "cluster", which may be, for example, all of the devices in a user's household. Within a single cluster, digital content can be freely moved and copied from device to device, so that the consumer can access all the licensed content from these devices. The xCP Cluster Protocol prevents unauthorized content distribution outside of the cluster, for example from one household to another.

This protocol requires that each device have a unique set of device keys and that peers in a cluster share a common media key block and a cluster ID. Devices use device keys and the media key block to calculate a common key. This key value will be used to decrypt the encrypted content key embedded in the content file. The security of this protocol largely depends on the assumption that the media key block is securely stored in one of the devices in the cluster that acts as a server to authorize other devices.

Unlike most existing DRM systems in which digital content and licenses are stored and distributed separately, in the xCP scheme usage rules are stored in the clear parts of encrypted content, such as "copy once", "copy no more", and "copy never". Time-based usage rules, such as an elapsed time condition or calendar-based permission, are supported based on the assumption that the

server has a secure clock. Count-based usage rules, such as a fixed number of player devices, require that the server have a secure hardware counter that prevents the user from restoring an old counter value or resetting usage counts.

The xCP Cluster Protocol is a hardware-based solution. Thus, for example, if user A sells an xCP-compliant device to user B, then in order for the device to work in user B's cluster, a strategy must be provided to enable the device to be embedded with the cluster ID specific to B's home network.

US Patent Serial No. 6,372,974, assigned to Intel Corp, describes a portable music player capable of transferring music files directly to another such player, i.e. without the intermediary of a PC or other intervening host. A method of transfer is disclosed that is capable of protecting digital rights by using a transfer protocol that results in the eventual deletion of the content on the sending player. Accordingly, the method is intended to ensure that only one copy of the content exists at any given time. However, the method does not provide support for more sophisticated DRM features, and in particular does not provide for a license that may include content usage rules, and that may exist independently of encrypted content.

Furthermore, it is not apparent from US 6,372,974 that the method disclosed provides adequate protection against communications failures that may result from accidental or intentional disconnection of the players during transfer. Without suitable safeguards to ensure atomicity of transfers (i.e. that in all circumstances either all or none of the transaction's operations are performed), such disconnection may result in the user either losing access to a playable copy of the content, or illegitimately obtaining an additional copy of the content.

Published US Patent Application No. 2003/0004885, assigned to IBM Corp, describes a method for maintaining a chain of title when transferring digital property rights. The method consists in augmenting existing DRM information (e.g. a license) with additional fields identifying the current owner and ownership history. When the license is transferred, ownership is updated and digitally signed by the "seller", after which only the "buyer" is permitted to consume the licensed content or to transfer the ownership again. However, the method is based on the assumption that a secure and reliable procedure for rights transfer is available, and the document does not disclose any particular scheme for

achieving this. In particular, the IBM specification does not disclose a method for transferring a license, including a content decryption key, between two devices and without the intermediary of a license server.

US Patent Serial No. 5,629,980, assigned to Xerox Corp, discloses a system for controlling the use and distribution of digital works. The system includes trusted storage locations known as "repositories" in which digital works controlled by DRM usage rights are held. Accordingly, all player devices, as well as devices such as content servers, include such repositories. Methods are provided for describing and implementing a broad spectrum of possible usage rights, including lending rights and differing levels of copying rights. However, no methods are disclosed that provide for the secure, efficient and flexible transfer of licenses independently of encrypted content, such that it would be possible to maintain multiple copies of the content in untrusted storage, for example on multiple devices owned by a single consumer, while allowing only a single device to hold a license authorising playback of the content using that device.

In summary, there is a need for a secure license-sharing system and method that allows a user to share a license among multiple devices while ensuring that only one device can use the license at a time.

It is desirable that a license-sharing method ensures that a digital right can be protected against alteration, forgery and reproduction, while providing for protected content keys so that the method may be applied directly to DRM.

Furthermore, it is a desired feature of a license-sharing scheme that it should not be unduly hardware dependent so that, for example, ownership of a player device may be transferred and/or physical location or connectivity of a device may be changed without requiring a specialised strategy to be employed to authorise the device for use by its new owner and/or in its new location.

It is also desirable to provide a license-sharing scheme that is able to ensure that there is always exactly one device that has a valid copy of a license at the end of a license transfer procedure, regardless of any communication failure between two players, i.e. that the transfer procedure satisfies the atomicity property.

Accordingly, it is an object of the present invention to mitigate the problems of the prior art by satisfying at least one of the aforementioned needs and desires.

It should be noted that any discussion of documents, devices, acts or knowledge in this specification is included to explain the context of the invention. It should not be taken as an admission that any of the material formed part of (common) general knowledge in the relevant art.

SUMMARY OF THE INVENTION

The inventors have recognised that it is possible to separate a digital license that confers specific usage rights within a DRM system from an entitlement of any particular device to exercise the usage rights. In prior art schemes, the usage rights and entitlement to exercise those rights are generally bundled together in the digital license, resulting in the binding of the license itself to a single device. By separating the rights from the entitlement, the inventors provide a method that enables the license to be held by a plurality of devices, while making it possible to ensure that only one device at any one time is actually enabled to exercise the usage rights. The license is therefore not bound to a specific device, but rather may be held by an unrestricted number of devices, whereas the entitlement to exercise the usage rights may be held by only a single device at any given time.

Accordingly, in one aspect, the present invention provides, in a digital rights management system in which a digital license confers predetermined usage rights in relation to a digital content, a method of transferring the usage rights from a first content player application to a second content player application, including the steps of:

a) associating with the first content player application a first status indication with respect to the digital license for indicating whether the first player application is entitled to exercise the usage rights conferred by the license;

b) associating with the second content player application a second status indication with respect to the digital license for indicating whether the second player application is entitled to exercise the usage rights conferred by the license;

c) transmitting a request for transfer of the usage rights from the second player application to the first player application;

d) setting the first status indication to indicate that the first player application is no longer entitled to exercise the usage rights;

e) transmitting a response transferring the usage rights from the first player application to the second player application; and

f) setting the second status indication to indicate that the second application is henceforth entitled to exercise the usage rights;

wherein the steps (c) to (f) are carried out in the stated order.

Advantageously, the usage rights conferred by the license are thereby not bound to a single device or application, but may be transferred from one device to another, while at the same time ensuring that the license can only be used by a single device at any one time. Furthermore, the particular sequence of steps ensures that the transfer process is robust against intentional or unintentional failures of communication between the two applications, such that any interruption that occurs during the process cannot result in both applications simultaneously acquiring the usage rights conferred by the license.

Preferably, the first content player application executes on a first player device and the second content player application executes on a second player device. However, it will be appreciated that the two player applications may execute on a single device such as, for example, a general purpose PC.

It is preferable that prior to the transfer, the first status indication indicates that the first content player application is entitled to exercise the usage rights. Clearly, if this is not the case no transfer of the rights can occur. It is also preferred that prior to the transfer, the second status indication indicates that the second content player application is not entitled to exercise the usage rights.

In preferred embodiments, the step (e) must be successfully completed within a predetermined time following the completion of step (c), otherwise the transfer is aborted. Advantageously, the inclusion of such a timeout in the method ensures that a failure of communication between the two applications does not result in a deadlock of one or both applications.

Step (e) may also include transmitting the digital license from the first player application to the second player application. This is particularly advantageous if the second player application does not already have the license, since the second application is thereby immediately enabled to exercise the usage rights with respect to the digital content without the need to separately acquire the license itself.

Step (c) may include, after transmitting the request, setting the second status indication to indicate that transfer of the usage rights has been requested. Transmitting the request may include transmitting a request message from the second application to the first application, wherein the message includes the value of the second status indication. Accordingly, if the transfer is subsequently aborted after step (d) then the first and second status indicators will indicate that the second application has requested the usage rights whereas the first application is no longer entitled to exercise the usage rights. Advantageously, the applications are thereby able to verify that the transfer was aborted and negotiate for completion of the transfer of rights to the second application.

Preferably, the first and second status indications are implemented as transaction flags in first and second tracking files associated with the first and second content player applications respectively. The transaction flags may be associated with the digital license by using a unique license identifier stored within the license as an index in the tracking file. Advantageously this enables each tracking file to store transaction flags associated with multiple digital licenses. It is further preferred that each entry in each tracking file includes a time stamp indicating when the license was last transferred to or from the corresponding application.

In a preferred embodiment, the method further includes computing an authentication code that is a function of the values of all transaction flags in the tracking file each time any transaction flag in the tracking file is altered. The authentication code may be computed as a one-way hash function of the concatenated values of all of the transaction flags. Preferably a secret key is associated with each of the first and second devices, and the value of the secret key is concatenated with the transaction flags before computing the hash function. Advantageously this prevents a malicious user from modifying the value of a transaction flag in the tracking file and recomputing the authentication code.

In a particularly preferred embodiment, a secure monotonic counter is associated with each device that is incremented each time any transaction flag in the tracking file is altered, and the value of the counter is concatenated with the secret key and the transaction flags before computing the hash function. This protects the tracking file against replay attack.

Preferably, the steps of the method are executed in a tamper resistant secure computing environment including secure storage, and the secret key is held only within said secure storage.

In another aspect, the present invention provides, in a digital rights management system in which a digital license confers predetermined usage rights in relation to a digital content, a system for transferring the usage rights from a first content player application to a second content player application, including:

request transmitting means adapted for transmitting a request for transfer of the usage rights from the second player application to the first player application;

first indication setting means adapted for setting a first status indication associated with said first content player application to indicate that the first player application is no longer entitled to exercise the usage rights;

response transmitting means adapted for transmitting a response transferring the usage rights from the first player application to the second player application; and

second indication setting means adapted for setting a second status indication associated with said second content player application to indicate that the second application is henceforth entitled to exercise the usage rights.

Preferably, the request transmitting means includes computer software code including instructions to effect transmission of a request for transfer of the usage rights from the second player application to the first player application, the first indication setting means includes computer software code including instructions to effect the setting of said first status indication to indicate that the first player application is no longer entitled to exercise the usage rights, the response transmitting means includes computer software code including instructions to effect transmission of a response transferring the usage rights from the first player application to the second player application, and the second indication setting means includes computer software code including instructions to effect the setting of said second status indication to indicate that the second application is henceforth entitled to exercise the usage rights.

In another aspect, the present invention provides, in a digital rights management system, a method for generating a second digital license from a first digital license, wherein said first digital license confers predetermined usage rights in relation to a digital content upon a first digital content player application and said second digital license confers the usage rights upon a second digital content player application, said digital content being normally encrypted and only able to be decrypted using a digital content decryption key, the first and second digital licenses each including a validated portion and an unvalidated portion, wherein

the validated portion of the first digital license includes characteristic information of the digital content decryption key, and

the unvalidated portion of the first digital license includes the digital content decryption key encrypted using an encryption key associated with said first digital content player application,

the method including the steps of:

decrypting the digital content decryption key using a decryption key associated with the first digital content player application;

using the decrypted digital content decryption key to generate the characteristic information of the digital content decryption key;

verifying that the generated characteristic information matches the characteristic information included in the validated portion of the first digital license; and

if the verification is successful, encrypting the digital content decryption key using an encryption key associated with said second digital content player application and including said encrypted key in the unvalidated portion of the second digital license.

Advantageously, the method enables a license that was originally issued for use by the first player application to be transferred to the second player application without the need to contact the license issuer or other authority to obtain a new license for use with the second player. Accordingly, it is possible to transfer the license while offline, since no connection to an outside license server is required.

Preferably, the validated portion of the first digital license is validated using a digital signature of a trusted authority. The trusted authority may be, for example, a license issuer. The validated portion may further include information relating to the usage rights conferred upon the player application. Preferably, the validated portion also includes a unique license identifier.

It is preferred that the encryption and decryption keys associated with the first digital content player application are the public and private keys respectively of a first public/private key pair. It is also preferred that the encryption key associated with the second digital content player application is the public key of a second public/private key pair.

In preferred embodiments, the method may include the step of verifying that the validated portion of the digital license has not been altered, and that the license has been legitimately acquired from the license issuer, for example by verifying that the digital signature is correct with respect to the issuer and the contents of the validated portion of the license. Accordingly, if an attempt has been made to alter the license, for example to confer additional rights, or to forge a license, the player application may reject the license.

It is preferred that the validated portion of the digital license includes characteristic information of the encrypted digital content, for example a hash of the encrypted digital content. Accordingly, the method may further include the steps of generating the characteristic information of the encrypted digital content and verifying that the generated characteristic information matches the corresponding information included in the validated portion of the digital license. Advantageously, this enables a content player application to verify that the digital license corresponds with the digital content.

The characteristic information of the digital content decryption key is preferably a hash of the digital content decryption key. In particularly preferred embodiments, a one-way, collision-free and pre-image resistant hash function is used, such that it is very unlikely that any two content decryption keys will have the same hash value.

It is preferred that the device upon which the first digital content player application executes provides a tamper resistant secure computing environment including secure storage, and that the decrypted digital content decryption key

and the private key of the first digital content player application are held only within said secure storage.

In yet another aspect, the present invention provides, in a digital rights management system, a system for generating a second digital license from a first digital license, wherein said first digital license confers predetermined usage rights in relation to a digital content upon a first digital content player application and said second digital license confers the usage rights upon a second digital content player application, said digital content being normally encrypted and only able to be decrypted using a digital content decryption key, the first and second digital licenses each including a validated portion and an unvalidated portion, wherein

the validated portion of the first digital license includes characteristic information of the digital content decryption key, and

the unvalidated portion of the first digital license includes the digital content decryption key encrypted using an encryption key associated with said first digital content player application,

the system including:

decrypting means for decrypting the digital content decryption key using a decryption key associated with the first digital content player application;

generating means for using the decrypted digital content decryption key to generate the characteristic information of the digital content decryption key;

verifying means for verifying that the generated characteristic information matches the characteristic information included in the validated portion of the first digital license; and

encrypting means for checking if the verification is successful, and if so then encrypting the digital content decryption key using an encryption key associated with said second digital content player application and including said encrypted key in the unvalidated portion of the second digital license.

Preferably, the decrypting means includes computer software code including instructions to effect decryption of the digital content decryption key, the generating means includes computer software code including instructions to effect generation of the characteristic information of the digital content decryption key, the verifying means includes computer software code including instructions

to verify that the generated characteristic information matches the characteristic information included in the validated portion of the first digital license, and the encrypting means include computer software code including instructions to check if the verification is successful, and if so then to effect encryption of the digital content decryption key using an encryption key associated with said second digital content player application and including said encrypted key in the unvalidated portion of the second digital license.

In order that the invention might be more fully understood, embodiments of the invention will be described with reference to the accompanying drawings. Further optional and preferred features and advantages of the methods and systems of the present invention will become apparent from the following description of these preferred embodiments. However, the embodiments described herein below should not be considered as limiting the scope of the invention or any of the preceding statements.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a schematic diagram of digital rights management system according to a preferred embodiment of the invention;

Figure 2 is an illustration of an arrangement that may be employed by a malicious user to gain unauthorised access to a license;

Figure 3 illustrates an exemplary license transfer procedure according to a preferred embodiment of the invention;

Figure 4 illustrates a license recovery procedure according to a preferred embodiment of the invention;

Figure 5 is a schematic illustration of an exemplary digital license according to the invention; and

Figure 6 is a schematic illustration of an exemplary track file entry according to the invention.

DESCRIPTION OF PREFERRED EMBODIMENT

Figure 1 is a schematic diagram of a digital rights management system 100 according to a preferred embodiment of the invention. The system includes two trusted player devices 102, 103, each of which includes a digital library 104, 105, a license database 106, 107 and a secure hardware counter 108, 109. Each player device 102, 103 may be, for example, a portable music player, a digital

video player, or a general purpose personal computer with installed software and hardware enabling it to be used to reproduce or display digital content.

Each license database 106, 107 is a conceptual database, such as a file directory, on the respective device, which stores all licenses in a protected form and further includes a transaction track file that maintains a record of transaction flags of these licenses. Each digital library 104, 105 is a digital content repository on the user's device that stores digital items in a protected form. To decrypt and use content, there must be a valid license with a valid transaction flag in the license database 106, 107. Each counter 108, 109 is a secure, monotonically increasing hardware counter that can be used to prevent replay attack. It will be incremented by one each time a license transfer happens. The player is a viewer responsible for content decryption and playback, and for providing an interface with which the user can request/transfer a license from/to another device.

In one exemplary use scenario of the system, the user has acquired a license 110 from a license server and may have stored the license on a home PC, for example. If the user wishes to consume the content on multiple devices 102, 103, the license must be transferred to the appropriate device. Transfer of a license may occur directly between the devices via a network connection such as a TCP/IP LAN, or a wireless connection such as an infrared link or a Bluetooth or 802.11 radio frequency link. Alternatively, transfer of a license may be through the intermediary of a mobile phone or other handheld device with wireless connections. Since the user may carry a mobile phone or other handheld device wherever he goes, using such a device to facilitate license transfer enhances the convenience of the system.

In the license sharing system and method of the invention, reliance is made upon a number of assumptions, as follows:

A1. DRM protected content can be copied and distributed to any device. Note that such protected content cannot be consumed if there is no valid license on the device.

A2. License transfers take place between two trusted player applications. A player is trusted if it enforces content usage rights with respect to the license.

A3. Each trusted player has a public/private key pair and an authentication key. A trusted player's private key and authentication key are securely stored on a secure memory of the user's device, so that the user does not have any knowledge of these keys at any time.

A4. The trusted player executes in a secure computing environment, and a malicious user cannot gain the content key and unprotected content when the content is being decrypted.

A5. The trusted player application is tamper-resistant, i.e. it is impossible for the user to reverse engineer and tamper the software.

A6. There exist secure audio paths between the trusted player and the display card and between the trusted player and I/O card. This assumption ensures that protected content files remain protected until the content reaches the output device.

It will be appreciated by those skilled in the art that the foregoing assumptions are generally satisfied in a number of known devices and systems implementing DRM, and can be achieved using known technologies and methods. Accordingly, these assumptions are not limiting of the present invention.

The system embodiment of fig. 1 satisfies a number of requirements in transferring a license from the first player 102 to the second player 103, as follows:

R1. The digital license must be kept on the user's device in a protected form. This is because the license contains content decryption keys that should be hidden from the user.

R2. The license transfer procedure must ensure that only authorised player applications can access the license. A potential threat is that all the nearby devices of a device may get the signal through wireless (or PC) broadcasting when the license is sent out from the device.

R3. The license must be protected against unauthorized modification, interception and illegal forgery during transaction. Figure 2

illustrates one arrangement that a malicious user may try to employ in order to gain unauthorised access to a license. A license is sent from a first device 202 to a second device 204, such as a general purpose PC. The license data is received via the network interface hardware 206, and processed by a network interface device driver software component 208 installed within the operating system of the device 204. An unmodified device driver would pass the license data to the player application 210 without examining or processing its contents. However, the potential threat is that the user may modify the driver software 208 on the device 204 so that the driver 208 may modify or block the received license, or even illegally forge a license.

R4. The license transfer procedure must satisfy the atomicity property. Atomicity is: "Either all or none of the transaction's operations are performed. If a transaction is interrupted by failure, then partial changes are undone". Atomicity in the license transfer procedure is to ensure that only one device has a valid copy of the license at the end of the transfer procedure regardless of any communication failure between two players.

Each of the two trusted player devices 102, 103 in fig. 1 has a copy of the DRM protected content. A license is to be transferred between the two players. The players manage license transfers and storage. Each device keeps a transaction track file for the purpose of license transfer. Each license known to the player has a corresponding data entry in the track file that contains a transaction flag of the license. Only the player can validate the integrity of the track file using its authentication key and read the records in the file. There are four types of transaction flags for the license: Active, Deactivated, Request and Recover. The meaning of these flags are described as follows:

- **Active**: the license can be used by the player to decrypt the content;
- **Deactivated**: the licence is deactivated, so the player cannot use it;
- **Request**: the license is requested by one player application to another; and

- **Recover**: the transaction flag of the license is requested to be set to 'Active' by one player application to another.

Each device can have a copy of the license, but only the license with 'Active' flag can be used by the player application to decrypt the content.

According to the present example, *A* and *B* are two trusted player applications executing on the devices 102 and 103 respectively. ID_L is license *L*'s identifier. Req(*A*, *B*, *L*) is the license request that application *B* sends to *A* for license *L*. *T* is a timeout value of the protocol. Figure 3 illustrates an exemplary license transfer scenario: license *L* is stored on the hard disk of the device 102 on which application *A* executes, and the transaction flag for *L* is 'Active'. Application *B* executing on player 103 requests the 'Active' license *L* from *A*.

Step 1: $B \rightarrow A$: Req(*A*, *B*, *L*), *B* writes (ID_L, 'Flag=Request')

Step 2: If Req(*A*, *B*, *L*) = valid, $A \rightarrow B$: *L*, *A* writes (ID_L, 'Flag=Deactivated'); Else, *A* quits after timeout (*T*).

Step 3: If *L* is valid, *B* stores *L* and writes (ID_L, 'Flag=Active'); Else, *B* quits after timeout (*T*).

In step 1, *B* writes (ID_L, 'Flag=Request') as the entry for *L* in its transaction track file. The transaction flag 'Flag=Request' reflects the current transaction state of *L*, that is, that application *B* has requested the active license. At this time *L*'s entry in the transaction track file on application *A*'s device 102 is (ID_L, 'Flag=Active').

In step 2, *A* receives and verifies the license request from *B*. If the request is found to be valid, *A* sends license *L* to *B* and writes (ID_L, 'Flag=Deactivated') as the entry for *L* in its transaction track file. Here, 'Flag=Deactivated' indicates that the license cannot be used any more, although *L* is still physically kept on A's device, i.e. *A* will refuse to use *L* to decrypt the content if *A* finds that *L* is marked as deactivated in the transaction track file. If *A* does not receive Req(*A*, *B*, *L*) within time *T* or the verification fails, *A* quits the transaction.

In step 3, *B* receives and verifies *L* from *A*. If *L* is found to be valid, *B* stores *L* and sets the transaction flag for *L* as 'Active', i.e. the entry for *L* in *B*'s transaction track file becomes (ID_L, 'Flag=Active'). Otherwise, if the verification fails or *B* does not receive the license from *A* within time *T* after sending Req(*A*,

B, *L*), *B* quits the transaction. Application *B* may then attempt to request the license again, starting from step 1.

Preferably a license recovery protocol is implemented that is similar to the license transfer procedure. Figure 4 shows a license recovery scenario: both *A* and *B* have a copy of the licence *L* on their hard disks. The transaction flag for *L* is 'Active' on *B*'s device, but is 'Deactivated' on *A*'s device. *A* requests that *L*'s transaction flag on its device to be set to 'Active'.

In this procedure, instead of writing (ID_L, 'Flag=Request'), *A* writes (IDL, 'Flag=Recover') as the entry for *L* in its transaction track file after sending license recovery request to *B*. The transaction flag 'Recover' indicates that *L* is physically stored on *A*'s hard disk but cannot be used, and *A* requests the 'Active' flag for *L* from *B*. After *B* receives and verifies *A*'s license recovery request, it will set the transaction flag for *L* on its device from 'Active' to 'Deactivated' and send a respond message to *A*. *B* will not be able to use the license. The entry for *L* in *A*'s transaction track file will become (ID_L, 'Flag=Active'), so *L* can then be used by A to decrypt the content.

It is to be noted that the difference between the license recovery procedure and the license request procedure is that in license recovery, *A* already has a copy of the license *L* that is known by *A* to be valid, and thus it is not necessary for *B* to send *L* to *A*, or for *A* to verify the license.

In known DRM implementations, a license contains content usage rules and the content key. When the license is distributed from the license server to the user's device, the content key should not be transferred in clear text. Usually, the license issuer encrypts the content key with the public key of the player on the user's device. Each player application has a unique public/private key pair, thus each license is generated uniquely for a specific player on the user's machine. For example, in the DRM scheme described in the document *Architecture of Windows Media Rights Manager* published by Microsoft Corporation, 2003, the protected content key and usage rights are grouped in a license that is signed by the license issuer with its private key. This is to ensure that the license has not been tampered with and to prove that the license was purchased from the issuer.

The disadvantage of this scheme is that the license can only be used by the player application to which it was issued. In order to consume the content on

a different player, the user must request or purchase a further license. In at least preferred embodiments, the present invention provides a license structure that may be employed to avoid this disadvantage, and thus enable the direct transfer of a license between devices.

The trusted player has a public key *PUB_P* and corresponding private key *PRI_P*. The license issuer has a public key *PUB_I* and corresponding private key *PRI_I*. The license issuer generates a license *L* that includes metadata for the content and the content key *CK* encrypted with player's public key and usage rules, and then signs the license with its private key. That is, the issuer generates a signed license as follows:

$$\text{Signed } L = L \,||\, S_{PRI\_I}(L)$$

$$L = \text{Metadata} \,||\, E_{PUB\_P}(CK) \,||\, \text{Usage Rules}$$

where $S()$ is a signature algorithm, $E()$ is an asymmetric encryption algorithm, and '||' denotes concatenation. The signed license may then be delivered to the trusted player over a public channel.

However, a potential problem arises if the above approach is used to encrypt the content key and construct the license. Suppose that *A* and *B* are two trusted player applications. Their public keys can be denoted as *PUB_A* and *PUB_B* respectively. Player *A* has the license *L* containing encrypted content key $E_{PUB\_A}(CK)$ signed by the issuer *I* using *PRI_I*. *A* is to transfer the license to *B*.

Before the transfer procedure, *A* needs use its private key to decrypt the encrypted content key and then re-encrypt the content key using player *B*'s public key. That is, *A* must generate $E_{PUB\_B}(CK)$ and use it to replace $E_{PUB\_A}(CK)$ in *L* so that *B* can decrypt and get the content key once the license is transferred from *A* to *B*. The problem in this scenario is that the license integrity will be compromised, because of the change in the encrypted content key part in the license is from $E_{PUB\_A}(CK)$ to $E_{PUB\_B}(CK)$. When player *B* checks the integrity of the license according to the license issuer's signature, the verification will fail, since when the license was signed it contained $E_{PUB\_A}(CK)$.

A new license structure is therefore proposed for use with preferred embodiments of the invention. Figure 5 illustrates schematically a license 500 according to a preferred embodiment in which the license is split into two parts 501, 502. The first part 501 of the license 500 includes: a cryptographic hash 504

of the encrypted content, the hash value 506 of the content key, the usage rules 508, and metadata 510. The second part 502 of the license 500 includes the content key encrypted with the public key of the player application 514. The first part of the license is digitally signed 512 by the issuer to enable its integrity and authenticity to be verified. The reason for constructing the license in this way is to prevent usage rules from unauthorized modification and to ensure that the issuer's signature will work properly when the content key is encrypted with another player's public key during license transfers.

The question arises as to what happens in the case of a dispute when the user claims that the license issuer put the wrong content key in the license? To avoid such dispute, the hash function must be one-way, collision-free and pre-image resistant, so it would be very unlikely that the license issuer will generate two content keys with the same hash value.

When a player receives the license, it will:

- verify the signature 512 of the first part of the license;
- verify the hash 504 of the content;
- decrypt the encrypted content key 506 using its private key; and
- pass the value of the key to the hash function.

If the computed result is the same as the hash value 506 contained in the license, the player will accept the license. Otherwise, the license will be rejected and the player will contact the license server for license reissue. If the license is accepted but the key cannot be used to decrypt the content, the license issuer needs to reissue the license that contains the correct content key.

To uniquely identify a license, a licence identifier 516 may be included in the first part of the license. Before decrypting the content, the player needs to find the corresponding entry in the transaction track file, which may be done by using the unique license identifier 516 as a key in the track file. If the transaction flag of the license is 'Active', the player will be permitted to use the content key to decrypt the content.

The discussion now turns to a more detailed description of the format of the transaction track file. The transaction track file keeps a record of the current transaction state of the licenses on the user's machine. When the license is

delivered to the user's device for the first time, the player application will write an entry for the license to the track file if the license integrity is verified.

To prevent track entries from undetectable manipulation or deletion, in the exemplary embodiment a Message Authentication Code (MAC) is attached to the file based on a secret key held by the player. Each license must have a unique entry in the track file that contains the transaction flag of the license. Every time the player updates a track entry, it increments the secure monotonic counter, e.g. 108, 109, and includes the counter value in the MAC with the file. If the license is physically deleted from the hard disk, its track entry will be deleted and the MAC will be updated automatically. If the license is physically stored on the hard disk of the device but there is no track entry for that license, the player will detect unauthorised deletion of the track entry and refuse to transfer the license to another device.

Figure 6 illustrates the format of an exemplary track file entry 600, including a unique license identifier 602, a transaction flag 604, and a timestamp 606 that is maintained to reflect the last time the entry 600 was updated.

If the license identifier 602 in the track entry 600 matches with the license identifier 516 in a license, then the track entry corresponds to the license. In the exemplary embodiment described herein there are four types of transaction flags: 'Active', 'Deactivated', 'Request' and 'Recover'. The timestamp 606 records the time when a transfer of the corresponding license last occurred, and hence is the time at which the transaction flag was last updated.

A MAC based on a secret key is used to prevent unauthorised tampering of the track file. In the exemplary embodiment, the player's authentication key is used for MAC computation. Suppose that the authentication key is K and T_i (i = 1, 2, ..., n) is the ith entry of the track file, then the value of the MAC is:

$$\text{MAC} = H(K \,||\, \text{Counter Value} \,||\, T_1 \,||\, T_2 \,||\, \ldots \,||\, T_n)$$

where $H()$ is a one-way hash function and || denotes concatenation.

The transaction track file is different from an audit log as described in the literature of the art. According to the definition of "log" provided in M Ruffin, *A Survey of Logging Uses*, University of Glasgow (Scotland), Fide2 Report 94-82, February 1994, "a log is an append-only write store and is a plain file where data are stored sequentially as they arrive". In the exemplary embodiment, there is

only one entry in the track file for a license with a specific license identifier. When the license is distributed to the user's device for the first time, the player will create a new data entry for the license. The transaction flag for this license will be set to 'Active'. When a license transfer happens, the player will read the license identifier in the transferred license first and then search for the position of the entry for the license in the track file according to the identifier. The player will update the transaction flag and the timestamp of the license in the track entry after the license has been transferred to another device.

The security properties of the preferred embodiment of the invention are analysed below by reference to the requirements **R1-R4** .

Requirement **R1** is satisfied, i.e. content keys in the licenses are kept in encrypted form on the user's device. Only the player application can decrypt encrypted content key using its private key.

Requirement **R2** is satisfied. Unauthorised player applications will not be able to gain access to a license through wireless or PC broadcasting, because the content key in the license is sent to an authorised recipient *B* in an encrypted form using *B*'s public key. Only *B* has the knowledge of the corresponding private key and thus only *B* is able to decrypt the encrypted content key.

Requirement **R3** is satisfied. Unauthorised modification, forgery and interception of the license can be prevented, because the integrity of the usage rules can be verified according to the issuer's digital signature in the license.

Requirement **R4** is satisfied. After a license transfer procedure takes place, only one device has the license with 'Active' flag. This property is analysed for a number of specific cases of license transfer from player application *A* to player application *B*, as follows.

Case 1: There is no communication problem between *A* and *B*. Exchanged messages are not disrupted by an attacker.

The protocol runs successfully. At the end of the license transfer, only *B* possesses the license, and has a corresponding track file entry with the 'Active' flag.

Case 2: *A* fails to receive the license request from *B* in step 2.

The protocol aborts after time out *T*. *B* does not obtain the license. *L* is still kept on A's device. The transaction entry for *L* on *A*'s device is unchanged.

Case 3: *B* fails to receive the license from *A* in step 3.

The protocol aborts after time out *T*. The transaction flag for *L* in the track file on *A*'s device is marked as deactivated, so *A* cannot use *L* any more. However, *B* can get the license from *A* through a negotiation procedure, i.e. *B* sends the licence request to *A* again, starting from step 1. This licence request needs to include the current transaction flag of *L* in the track file on *B*, which should be 'Request'. *A* will check the license request in the negotiation procedure. Since *L* is still physically stored on *A*'s device, *A* will send *L* to *B* again if the verification is successful. Finally, *B* will get license *L* and set the transaction flag for *L* as 'Active', so that *B* cannot send a valid license request to *A* again.

Moreover, the inventive system can prevent replay attack. Suppose that a malicious user has some licenses with 'Active' flag on his device. The user may take a snapshot of the current state of the track file, perform one or more license transfers to another device and finally restore the snapshot, removing all records that reflect license transactions since the snapshot. However, the player is able to detect this attack because the secure counter is incremented once for each transfer, Upon the user restoring the snapshot of the track file, the counter cannot be restored by the user to its value prior to the transactions. Accordingly, the calculated MAC value will be inconsistent with the restored MAC value, due to the changed counter value.

PRELIMINARY STATEMENTS OF INVENTION ARE AS FOLLOWS:

1. In a digital rights management system in which a digital license confers predetermined usage rights in relation to a digital content, a method of transferring the usage rights from a first content player application to a second content player application including the steps of:

a) associating with the first content player application a first status indication with respect to the digital license for indicating whether the first player application is entitled to exercise the usage rights conferred by the license;

b) associating with the second content player application a second status indication with respect to the digital license for indicating whether the second player application is entitled to exercise the usage rights conferred by the license;

c) transmitting a request for transfer of the usage rights from the second player application to the first player application;

d) setting the first status indication to indicate that the first player application is no longer entitled to exercise the usage rights;

e) transmitting a response transferring the usage rights from the first player application to the second player application; and

f) setting the second status indication to indicate that the second application is henceforth entitled to exercise the usage rights;

wherein the steps (c) to (f) are carried out in order.

2. Preferably, the step (e) must be successfully completed within a predetermined time following the completion of step (c), otherwise the transfer is aborted.

3. Step (e) may also include transmitting the digital license from the first player application to the second player application.

4. Step (c) may include, after transmitting the request, setting the second status indication to indicate that transfer of the usage rights has been requested.

5. Transmitting the request may include transmitting a request message from the second application to the first application, wherein the message includes the value of the second status indication.

6. Preferably, the first and second status indications are implemented as transaction flags in first and second tracking files associated with the first and second content player applications respectively.

7. Preferably, the method further includes computing an authentication code that is a function of the values of all transaction flags in the tracking file each time any transaction flag in the tracking file is altered.

8. The authentication code may be computed as a one-way hash function of the concatenated values of all of the transaction flags.

9. Preferably a secret key is associated with each of the first and second devices, and the value of the secret key is concatenated with the transaction flags before computing the hash function.

10. It is further preferred that a secure monotonic counter is associated with each device that is incremented each time any transaction flag in the tracking file is altered, and the value of the counter is concatenated with the secret key and the transaction flags before computing the hash function.

11. Preferably, the steps of the method are executed in a tamper resistant secure computing environment including secure storage, and the secret key is held only within said secure storage.

12. In a digital rights management system in which a digital license confers predetermined usage rights in relation to a digital content, a system for transferring the usage rights from a first content player application to a second content player application including:

request transmitting means for transmitting a request for transfer of the usage rights from the second player application to the first player application;

first indication setting means for setting a first status indication associated with said first content player application to indicate that the first player application is no longer entitled to exercise the usage rights;

response transmitting means for transmitting a response transferring the usage rights from the first player application to the second player application; and

second indication setting means for setting a second status indication associated with said second content player application to indicate that the second application is henceforth entitled to exercise the usage rights.

13. In a digital rights management system, a method for generating a second digital license from a first digital license, wherein said first digital license confers predetermined usage rights in relation to a digital content upon a first digital content player application and said second digital license confers the usage rights upon a second digital content player application, said digital content being normally encrypted and only able to be decrypted using a digital content decryption key, the first and second digital licenses each including a validated portion and an unvalidated portion, wherein

the validated portion of the first digital license includes characteristic information of the digital content decryption key, and

the unvalidated portion of the first digital license includes the digital content decryption key encrypted using an encryption key associated with said first digital content player application,

the method including the steps of:

decrypting the digital content decryption key using a decryption key associated with the first digital content player application;

using the decrypted digital content decryption key to generate the characteristic information of the digital content decryption key;

verifying that the generated characteristic information matches the characteristic information included in the validated portion of the first digital license; and

if the verification is successful, encrypting the digital content decryption key using an encryption key associated with said second digital content player application and including said encrypted key in the unvalidated portion of the second digital license.

14. Preferably, the validated portion of the first digital license is validated using a digital signature of a trusted authority, such as a license issuer.

15. It is preferred that the encryption and decryption keys associated with the first digital content player application are the public and private keys respectively of a first public/private key pair and that the encryption key associated with the second digital content player application is the public key of a second public/private key pair.

16. Preferably, the method includes the step of verifying that the validated portion of the digital license has not been altered, and that the license has been legitimately acquired from the license issuer, for example by verifying that the digital signature is correct with respect to the issuer and the contents of the validated portion of the license.

17. It is preferred that the validated portion of the digital license includes characteristic information of the encrypted digital content, for example a hash of the encrypted digital content and that the method further includes the steps of generating the characteristic information of the encrypted digital content and verifying that the generated characteristic information matches the corresponding information included in the validated portion of the digital license.

18. The characteristic information of the digital content decryption key is preferably a hash of the digital content decryption key, and it is particularly preferred that a one-way, collision-free and pre-image resistant hash function is used, such that it is very unlikely that any two content decryption keys will have the same hash value.

19. It is preferred that the device upon which the first digital content player application executes provides a tamper resistant secure computing environment including secure storage, and that the decrypted digital content decryption key and the private key of the first digital content player application are held only within said secure storage.

20. In a digital rights management system, a system for generating a second digital license from a first digital license, wherein said first digital license confers predetermined usage rights in relation to a digital content upon a first digital content player application and said second digital license confers the usage rights upon a second digital content player application, said digital content being normally encrypted and only able to be decrypted using a digital content decryption key, the first and second digital licenses each including a validated portion and an unvalidated portion, wherein

the validated portion of the first digital license includes characteristic information of the digital content decryption key, and

the unvalidated portion of the first digital license includes the digital content decryption key encrypted using an encryption key associated with said first digital content player application,

the system including:

decrypting means for decrypting the digital content decryption key using a decryption key associated with the first digital content player application;

generating means for using the decrypted digital content decryption key to generate the characteristic information of the digital content decryption key;

verifying means for verifying that the generated characteristic information matches the characteristic information included in the validated portion of the first digital license; and

encrypting means for checking if the verification is successful, and if so then encrypting the digital content decryption key using an encryption key associated with said second digital content player application and including said encrypted key in the unvalidated portion of the second digital license.

DATED this 29th day of March 2004

SMART INTERNET TECHNOLOGY CRC PTY LTD

WATERMARK PATENT & TRADE MARK ATTORNEYS
290 BURWOOD ROAD
HAWTHORN VICTORIA 3122
AUSTRALIA

CJS/MAS

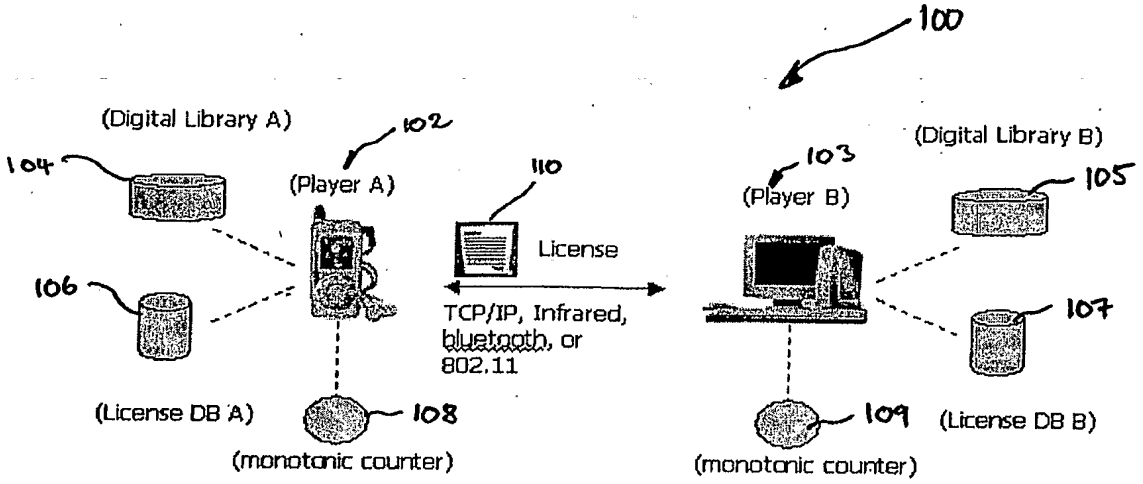

Figure 1: System components of the scheme

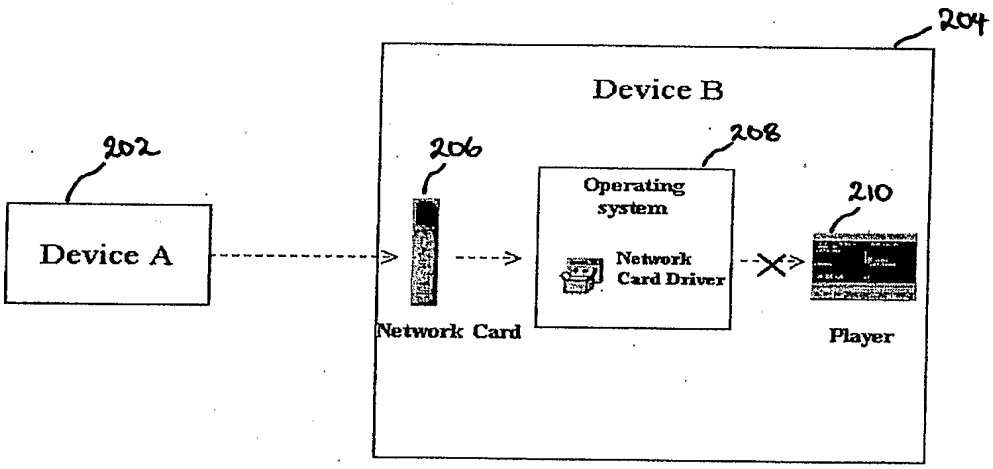

Figure 2: Network card driver may modify or block the received license, or forge a license.

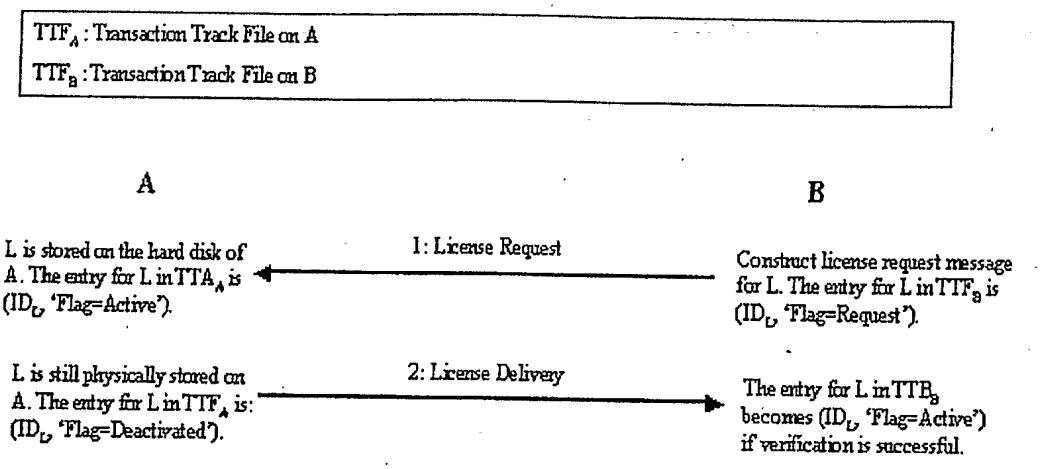

Figure 3: B requests an 'Active' license from A.

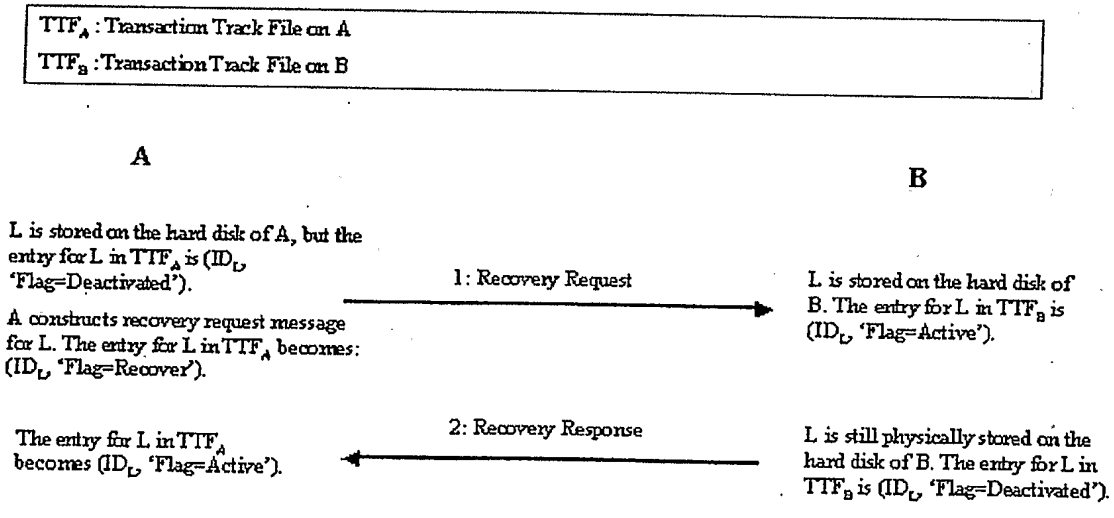

Figure 4: Both A and B have a copy of the license. A requests an 'Active' flag for the license from B.

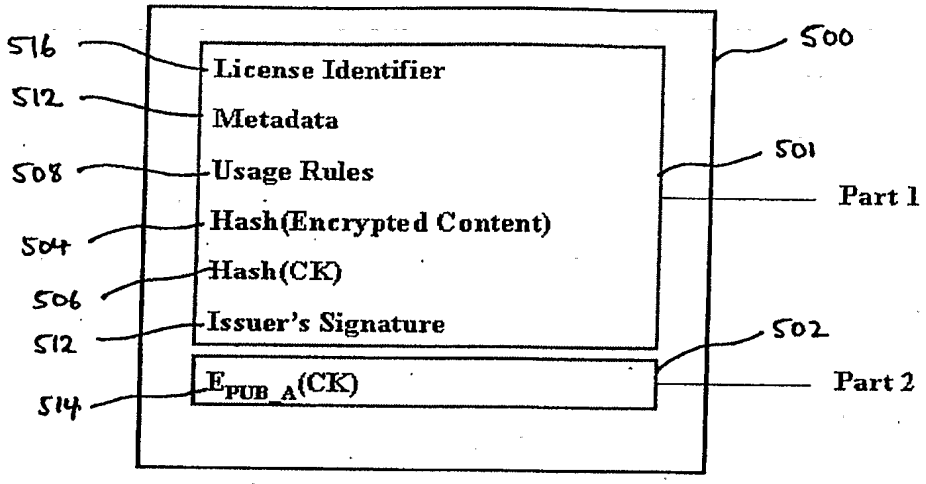

Figure 5: License Structure on player A's device

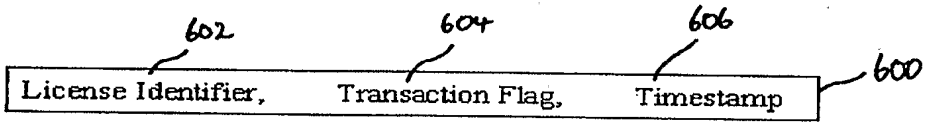

Figure 6: Format of a track entry